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**REEXAM UNIT**

**EXHIBIT I**

**ASSIGNMENT OF PATENT RIGHTS TO SCHERING**

Case no. CV 0400 K 1

AUGUST 22, 1996

SCHERING CORPORATION  
ANITA W. MAGATTI  
PATENT DEPARTMENT K-6-1 1990  
2000 GALLOPING HILL ROAD  
KENILWORTH NJ 07033-0530



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

PATENT DEPARTMENT  
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\*100205039A\*

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COMMENTS

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☐ BZA

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/20/1996

REEL/FRAME: 7960/0455  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ROSENBLUM, STUART B.

DOC DATE: 08/03/1994

ASSIGNOR:

DUGAR, SUDEEP

DOC DATE: 08/03/1994

ASSIGNOR:

BURNETT, DUANE A.

DOC DATE: 08/03/1994

ASSIGNOR:

CLADER, JOHN W.

DOC DATE: 08/03/1994

ASSIGNOR:

MCKITTRICK, BRIAN A.

DOC DATE: 08/03/1994

ASSIGNEE:

SCHERING CORPORATION  
2000 GALLOPING HILL ROAD  
KENILWORTH, NEW JERSEY 07033-0530

7960/0455 PAGE 2

SERIAL NUMBER: 08617751  
PATENT NUMBER:

FILING DATE: 03/18/1996  
ISSUE DATE:

SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



100205039

MAY 2 1996

CV0400K1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document and copy thereof.

## 1. Name of conveying party(ies):

1. STUART B. ROSENBLUM
2. SUNDEEP DUGAR
3. DUANE A. BURNETT

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: PLEASE SEE ATTACHED.

## 2. Name and address of receiving party(ies):

Name: SCHERING CORPORATIONInternal Address: 2000 Galloping Hill RoadKenilworth, New Jersey 07033-0530Street Address: Same as Above.

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional names(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s): 08/617,751

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ANITA W. MAGATTIInternal Address: Patent Department, K-6-1 1990Schering-Plough CorporationStreet Address: 2000 Galloping Hill RoadCity: Kenilworth State: NJ ZIP: 07033-05306. Total number of applications and patents involved: (1)7. Total fee (37 CFR 3.41): ..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

19-0365

BS08359 05/31/96 08617751

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19-0365 080 581

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## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*ANITA W. MAGATTI

Name of Person Signing

Signature

Date

Registration No. 29,825Total number of pages including cover sheet, attachments and documents: 6

FORM PTO-1595  
RECORDATION FORM COVER SHEET  
PATENT FORM COVER SHEET  
PATENTS ONLY

Page 2 of 2

1. Additional name(s) of conveying party(ies):  
(continued from page 1)

- 4. JOHN W. CLADER
- 5. BRIAN A. MCKITTRICK
- 6.
- 7.

2. Additional names(s) and address(es) of receiving party(ies):  
(continued from page 1)

None.

3. Execution Date  
(continued from page 1)

- |    |                     |                |
|----|---------------------|----------------|
| 1. | STUART B. ROSENBLUM | AUGUST 3, 1994 |
| 2. | SUNDEEP DUGAR       | AUGUST 3, 1994 |
| 3. | DUANE A. BURNETT    | AUGUST 3, 1994 |
| 4. | JOHN W. CLADER      | AUGUST 3, 1994 |
| 5. | BRIAN A. MCKITTRICK | AUGUST 3, 1994 |

4. Additional application number(s) or registration number(s):  
(continued from page 1)

A. Patent Application No.(s):

None.

B. Patent No.(s):

None.

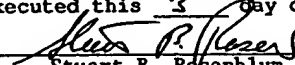
**ASSIGNMENT**

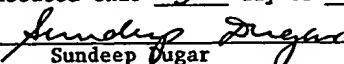
For good and valuable consideration paid to us, (1) Stuart B. Rosenblum  
(2) Sundee Dugar (3) Duane A. Burnett  
(4) John W. Clader (5) Brian A. McKittrick  
of respectively (1) West Orange, New Jersey  
(2) Bridgewater, New Jersey (3) Fanwood, New Jersey  
(4) Cranford, New Jersey (5) Bloomfield, New Jersey  
by SCHERING CORPORATION, a corporation organized under the laws of the State  
of New Jersey, United States of America, having its principal office at Galloping  
Hill Road, Kenilworth, New Jersey 07033, United States of America, (hereinafter  
called "SCHERING"), we each do hereby sell, assign and set over unto said  
SCHERING each of our entire right, title and interest in all countries of the  
world in and to any and all of our inventions and discoveries in  
HYDROXY-SUBSTITUTED AZETIDINONE COMPOUNDS USEFUL AS HYPOCHOLESTEROLEMIC  
AGENTS  
as described and/or claimed in our International Application under the Patent  
Cooperation Treaty and subsequently\* officially identified as International  
Patent Application Number PCT/US94/10099 filed on  
September 14, 1994 and, if applicable, our corresponding United States  
Application Serial No. 08/617,751 filed on March 18, 1996,  
in and to the right to file patent applications in the name of SCHERING, its  
designee, or in any or all of our names, at its election, on the aforesaid  
inventions and discoveries in all countries of the world, together with all  
rights of priority in the aforesaid countries deriving from the above-identified  
International Patent Application and with all rights of priority in United States  
Patent Application No. 08/102440 filed September 21, 1993; United States  
Patent Application No. 08/257593 filed June 9, 1994; and United  
States Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_,  
United States Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_;  
under the International Convention for the Protection of Industrial Property,  
under the Inter-American Convention relating to Inventions, Patents, Designs and  
Industrial Models and under any other international arrangement to which the  
United States now is or hereafter becomes a signatory, in and to any and all  
Letters Patent that issue on any of the aforesaid patent applications, and in and  
to any continuations, divisions, reissues, renewals and extensions thereof of any  
of said Letters Patent, the same to be held and enjoyed by said SCHERING, its  
successors, assigns and other legal representatives, to the full ends of the  
terms for which a Letters Patent therefor may be granted, as fully and entirely

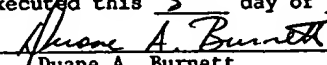
as the same would have been held and enjoyed by us if this assignment and sale had not been made.

And we hereby covenant and agree that we will at any time, upon the request and at the expense of SCHERING, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, and Letters Patent and reissues, renewals and extensions thereof in SCHERING, its successors, assigns or other legal representatives, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and that we will, at any time, upon the request and the expense of SCHERING, execute any additional or divisional applications for patents for said inventions and discoveries, or any part or parts thereof, and applications for patents of confirmation, registration and importation based on said Letters Patent and on Letters Patent issuing from said additional or divisional applications and reissues, renewals and extensions therefor, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of SCHERING, its successors, assigns or other legal representatives.


\*We hereby authorize SCHERING to insert in this instrument the International Patent Application Number and United States Application Serial Number, if applicable, and the filing dates of said applications when officially notified thereof.

Executed this 3<sup>rd</sup> day of August, 19 94.  
  
 Stuart B. Rosenblum L.S.

Executed this 3<sup>rd</sup> day of August, 19 94.  
  
 Sundeep Dugar L.S.

Executed this 3<sup>rd</sup> day of August, 19 94.  
  
 Duane A. Burnett L.S.

Executed this 3<sup>rd</sup> day of August, 19 94.  
  
 John W. Clader L.S.

Executed this 3<sup>rd</sup> day of August, 19 94.  
  
 Brian A. McKittrick L.S.

Acknowledgement

State of New Jersey )  
 ) s.s.  
County of Union )

On this 3 day of August, 1994  
personally appeared before me Stuart B. Rosenblum  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

Patricia Citarella  
NOTARY PUBLIC

Acknowledgement

State of New Jersey )  
 ) s.s.  
County of Union )

On this 3 day of August, 1994  
personally appeared before me Sundeep Dugar  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

Patricia Citarella  
NOTARY PUBLIC

Acknowledgement

State of New Jersey )  
 ) s.s.  
County of Union )

On this 3 day of August, 1994  
personally appeared before me Duane A. Burnett  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

Patricia Citarella  
NOTARY PUBLIC

Acknowledgement

State of New Jersey )  
 ) s.s.  
County of Union )

On this 3 day of August, 1994  
personally appeared before me John W. Clader  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

Patricia Citarella  
NOTARY PUBLIC

13026-1

PATRICIA CITARELLA  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Jan. 4, 1999



Acknowledgement

State of New Jersey )  
 ) s.s.  
County of Union )

On this 3 day of August, 1994  
personally appeared before me Brian A. McKittrick  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

Patricia Citarella  
NOTARY PUBLIC

Acknowledgement

PATRICIA CITARELLA  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Jan. 4, 1998

State of \_\_\_\_\_ )  
 ) s.s.  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 19 \_\_,  
personally appeared before me \_\_\_\_\_  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

\_\_\_\_\_  
NOTARY PUBLIC

Acknowledgement

State of \_\_\_\_\_ )  
 ) s.s.  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 19 \_\_,  
personally appeared before me \_\_\_\_\_  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

\_\_\_\_\_  
NOTARY PUBLIC

Acknowledgement

State of \_\_\_\_\_ )  
 ) s.s.  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 19 \_\_,  
personally appeared before me \_\_\_\_\_  
to me known, and known by me to be the same person described in and who executed the foregoing instrument,  
and acknowledged that he or she executed the same, of his or her own free will for the purpose set forth.

(Seal)

\_\_\_\_\_  
NOTARY PUBLIC